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# APPLICATION OF FRAGMENTATION NORMS TO TRANSPORTED POINTS BY HAMILTONIAN ISOTOPIES (Geometry, Algebra and Combinatorics in Transformation group theory)

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CITATION:

Kawasaki, Morimichi ...[et al]. APPLICATION OF FRAGMENTATION NORMS TO TRANSPORTED POINTS BY HAMILTONIAN ISOTOPIES (Geometry, Algebra and Combinatorics in Transformation group theory). 数理解析研究所講究録 2018, 2098: 57-59

ISSUE DATE:

2018-12

URL:

<http://hdl.handle.net/2433/251762>

RIGHT:

# APPLICATION OF FRAGMENTATION NORMS TO TRANSPORTED POINTS BY HAMILTONIAN ISOTOPIES

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**ABSTRACT.** We prove that a certain  $C^0$ -robust condition of a Hamiltonian function  $H$  induces the existence of a point transported  $\varepsilon$  out of the original point by the Hamiltonian diffeomorphism  $\varphi_H$ . Related to our observation, we provide a problem on Hamiltonian pseudo-rotations.

## 1. MAIN RESULT

Let  $(M, \omega)$  be a symplectic manifold. For a Hamiltonian  $H: S^1 \times M \rightarrow \mathbb{R}$  with compact support, we set  $H_t = H(t, \cdot)$  for  $t \in S^1 = \mathbb{R}/\mathbb{Z}$ . The *Hamiltonian vector field*  $X_{H_t}$  associated with  $H_t$  is a time-dependent vector field defined by the formula

$$\omega(X_{H_t}, \cdot) = -dH_t.$$

The *Hamiltonian isotopy*  $\{\varphi_H^t\}_{t \in \mathbb{R}}$  associated with  $H$  is defined by

$$\begin{cases} \varphi_H^0 = \text{id}_M, \\ \frac{d}{dt}\varphi_H^t = X_{H_t} \circ \varphi_H^t & \text{for all } t \in \mathbb{R}, \end{cases}$$

and its time-one map  $\varphi_H = \varphi_H^1$  is referred to as the *Hamiltonian diffeomorphism (with compact support)* generated by  $H$ . We denote by  $\text{Ham}(M)$  the group of Hamiltonian diffeomorphisms of  $M$  with compact support.

In his famous work [B], Banyaga proved the simplicity of  $\text{Ham}(M)$  when  $M$  is closed. The key ingredient was the proof of the fragmentation lemma for this group, which enables us to define fragmentation norms on  $\text{Ham}(M)$  as follows. Let  $U$  be an open subset of  $M$ . The fragmentation lemma implies that for every  $\phi \in \text{Ham}(M)$  there exists a positive integer  $n$  such that  $\phi$  can be represented as a product of  $n$  diffeomorphisms  $\theta_i \in \text{Ham}(\psi_i(U))$ , where  $\psi_i \in \text{Ham}(M)$  and  $1 \leq i \leq n$ . For  $\phi \neq \text{id}_M$ , its *fragmentation norm*  $\|\phi\|_U$  with respect to the open subset  $U$  is defined to be the minimal number of factors in such a product. We set  $\|\phi\|_U = 0$  when  $\phi = \text{id}_M$ .

**Definition 1.1.** Let  $U$  be an open subset of  $M$ . The fragmentation norm  $\|\cdot\|_U$  is *controlled by the  $C^0$ -topology* if there exist an  $C^0$ -open neighborhood  $\mathcal{U} \subset \text{Ham}(M)$  of the identity and a positive number  $C > 0$  such that  $\|\phi\|_U < C$  for any  $\phi \in \mathcal{U}$ .

Entov, Polterovich and Py proved the following interesting theorem.

**Theorem 1.2** ([EPP, Theorem 4]). *Let  $\Sigma$  be a compact Riemann surface (possibly with boundary) equipped with an area form. Let  $U \subset \Sigma$  be an open subset diffeomorphic to an open disc. Then the fragmentation norm  $\|\cdot\|_U$  is controlled by the  $C^0$ -topology.*

Our main result is the following theorem which is an application of Theorem 1.2.

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*Date:* August 31, 2018.

This work is supported by JSPS KAKENHI Grant Numbers JP18J00765, JP18J00335.

**Theorem 1.3.** *Let  $\Sigma_g$  be a closed Riemann surface of genus  $g \geq 1$  equipped with an area form and a Riemannian metric. Let  $L_1$  and  $L_2$  be non-contractible simple closed curves in  $\Sigma_g$ . Then there exist positive numbers  $\varepsilon_0 > 0$  and  $C > 0$  such that for any Hamiltonian  $H: S^1 \times \Sigma_g \rightarrow \mathbb{R}$  satisfying  $H|_{S^1 \times L_1} > C$  and  $H|_{S^1 \times L_2} < 0$ , there exists  $x \in \Sigma_g$  such that  $d(x, \varphi_H(x)) > \varepsilon_0$ .*

Here  $d$  is the distance induced by the Riemannian metric on  $\Sigma_g$ .

*Remark 1.4.* Since the Hamiltonians  $kH$ ,  $k \in \mathbb{Z}_{>0}$ , also satisfy  $kH|_{S^1 \times L_1} > C$  and  $kH|_{S^1 \times L_2} < 0$ , Theorem 1.3 yields that  $\varphi_H^{k_i}$  never converges to the identity with respect to the  $C^0$ -topology for any sequence  $k_i \rightarrow \infty$ .

## 2. PROOF OF THEOREM 1.3

To prove Theorem 1.3, we use the following theorem which is an application of Lagrangian spectral invariants [LZ, K].

**Theorem 2.1** ([KO]). *Let  $\Sigma_g$  be a closed Riemann surface of genus  $g \geq 1$  equipped with an area form and  $U$  a contractible open subset of  $\Sigma_g$ . Then, there exists a positive number  $r$  satisfying the following condition. Let  $L_1$  and  $L_2$  be non-contractible simple closed curves in  $\Sigma_g$ . For any  $C > 0$  and any Hamiltonian  $H: S^1 \times \Sigma_g \rightarrow \mathbb{R}$  satisfying  $H|_{S^1 \times L_1} > C$  and  $H|_{S^1 \times L_2} < 0$ ,*

$$\|\varphi_H\|_U > r \cdot C.$$

*Proof of Theorem 1.3.* Fix a Riemannian metric on  $\Sigma_g$ . For a positive number  $\varepsilon$ , we define a subset  $\mathcal{U}_\varepsilon$  of  $\text{Ham}(\Sigma_g)$  by

$$\mathcal{U}_\varepsilon = \{ \phi \in \text{Ham}(\Sigma_g) \mid d(x, \phi(x)) \leq \varepsilon \text{ for any } x \in \Sigma_g \}.$$

By Theorem 1.2, there exist positive numbers  $C$  and  $\varepsilon_0$  such that  $\|\phi\|_U < C$  holds for any  $\phi \in \mathcal{U}_{\varepsilon_0}$ .

By Theorem 2.1, for any Hamiltonian  $H: S^1 \times \Sigma_g \rightarrow \mathbb{R}$  satisfying  $H|_{S^1 \times L_1} > C/r$  and  $H|_{S^1 \times L_2} < 0$ ,

$$\|\varphi_H\|_U > r \cdot C/r = C.$$

Then  $\varphi_H \notin \mathcal{U}_{\varepsilon_0}$  and hence, there exists a point  $x$  in  $\Sigma_g$  such that  $d(x, \phi(x)) > \varepsilon_0$ .  $\square$

## 3. A PROBLEM ON HAMILTONIAN PSEUDO-ROTATIONS

Let  $\mathbb{C}P^n$  be the  $n$ -dimensional complex projective space equipped with the Fubini–Study form  $\omega_{\text{FS}}$ . A *Hamiltonian pseudo-rotation* of  $\mathbb{C}P^n$  is a Hamiltonian diffeomorphism with exactly  $n + 1$  fixed points. Observe that this is the minimal possible number of fixed points of Hamiltonian diffeomorphisms of  $\mathbb{C}P^n$ . Ginzburg and Gürel proved the following crucial theorem.

**Theorem 3.1** ([GG, Theorem 5.13]). *Let  $\varphi$  be a Hamiltonian pseudo-rotation of  $\mathbb{C}P^n$  with exponentially Liouville mean index vector  $\vec{\Delta}$  (see [GG, Definition 5.11] for the definition). Then there exists a sequence  $k_i \rightarrow \infty$  such that*

$$\varphi^{k_i} \xrightarrow{C^0} \text{id}.$$

We consider  $(\mathbb{C}P^2, \omega_{\text{FS}})$ . The real projective space  $\mathbb{R}P^2$  is naturally embedded in  $(\mathbb{C}P^2, \omega_{\text{FS}})$  as a Lagrangian submanifold. There is another Lagrangian submanifold  $L_W$  called the Chekanov torus which is disjoint from  $\mathbb{R}P^2$ . Then the authors proved the following theorem.

**Theorem 3.2** ([KO]). *Let  $U$  be a displaceable open subset of  $\mathbb{C}P^2$ . Then, there exists a positive number  $r$  satisfying the following condition. For any  $C > 0$  and any Hamiltonian  $H: S^1 \times \mathbb{C}P^2 \rightarrow \mathbb{R}$  satisfying  $H|_{S^1 \times \mathbb{R}P^2} > C$  and  $H|_{S^1 \times L_W} < 0$ ,*

$$\|\varphi_H\|_U > r \cdot C.$$

Combining with Theorems 3.1 and 3.2, an argument similar to the proof of Theorem 1.3 yields the following corollary.

**Corollary 3.3.** *Assume that the fragmentation norm  $\|\cdot\|_U$  is controlled by the  $C^0$ -topology. Let  $U$  be a displaceable open subset of  $\mathbb{C}P^2$  and  $H: S^1 \times \mathbb{C}P^2 \rightarrow \mathbb{R}$  a Hamiltonian satisfying  $H|_{S^1 \times \mathbb{R}P^2} > C$  and  $H|_{S^1 \times L_W} < 0$ . Then  $\varphi_H$  is not a Hamiltonian pseudo-rotation of  $\mathbb{C}P^2$  with exponentially Liouville mean index vector  $\bar{\Delta}$ .*

Thus, we pose the following problem.

**Problem 3.4.** *Does there exist a positive number  $C > 0$  such that for any Hamiltonian  $H: S^1 \times \mathbb{C}P^2 \rightarrow \mathbb{R}$  satisfying  $H|_{S^1 \times \mathbb{R}P^2} > C$  and  $H|_{S^1 \times L_W} < 0$ , the Hamiltonian diffeomorphism  $\varphi_H$  is not a Hamiltonian pseudo-rotation of  $\mathbb{C}P^2$  (i.e.,  $\varphi_H$  has more than 3 fixed points)?*

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